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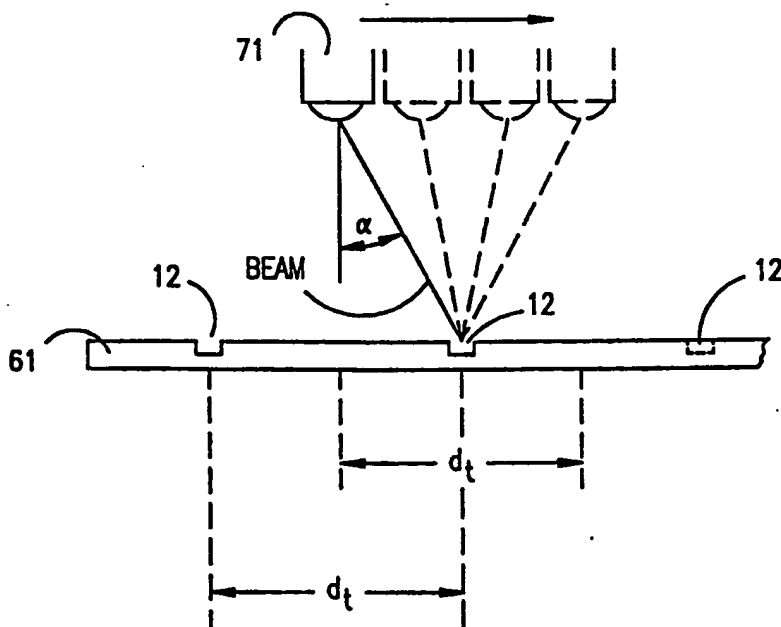
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(54) Title: STEERING LASER BEAM WHILE ETCHING OPTICAL SERVO TRACKS FOR MAGNETIC DISKS



(57) Abstract

A beam of light used to etch servo tracks on a magnetic disk is steered with an acousto-optical device to maintain the beam in concentric patterns while mechanically moving the optics which generate the beam of light continuously radially of the disk.

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**STEERING LASER BEAM WHILE ETCHING  
OPTICAL SERVO TRACKS FOR MAGNETIC DISKS**

**Field of the Invention**

This invention relates to etching optical  
5 servo tracks on magnetic disks and more particularly, to  
steering the laser beam while mechanically moving the optics  
to etch the servo tracks in the desired concentric patterns.

**Background of the Invention**

So-called "floppy" disk memory systems for  
10 "desk top" sized computers are well known in the art. Such  
systems employ magnetic storage disks having a diameter of  
either 5.25 inches or 3.50 inches. Conventional magnetic  
storage disks for floppy disk drives have a track density  
ranging from forty-eight (48) to one hundred thirty-five (35)  
15 tracks per inch (TPI). In contrast, optical storage disks  
for optical memory systems achieve track densities greater  
than 15,000 TPI. The greater track density of optical disks  
is achieved by the use of optical servos that maintain fine  
positioning of the optical read/write head over the data  
20 tracks on the disk. Typically, concentric optical servo  
tracks are pre-recorded on the optical disk to guide the  
servo mechanism.

New advances in barium-ferrite magnetic media  
have allowed bit densities of magnetic storage disks to  
25 exceed the bit densities of the optical disks. However, as  
mentioned above, track densities of magnetic media (48 - 135  
TPI) are many times less than their optical counterparts.  
This limits the overall capacity of the magnetic disks as

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compared to optical disks. Conventional magnetic disk systems employ a magnetic servo mechanism and magnetically pre-recorded servo tracks on the disks to guide the read/write head. Magnetic servo systems cannot provide the fine positioning that optical servo systems can provide.

Recently, floppy disk systems have been developed that combine magnetic disk recording techniques with the high track capacity optical servos found in optical disk systems. Such a system is described in AN INTRODUCTION TO THE INSITE 325 FLOPTICAL(R) DISK DRIVE, Godwin, in a paper presented at the SPIE Optical Data Storage Topical Meeting (1989). Essentially, an optical servo pattern is pre-recorded on a magnetic floppy disk. The optical servo pattern typically consists of a large number of equally spaced concentric tracks about the rotational axis of the disk. Data is stored in the magnetic "tracks" between the optical servo tracks using conventional magnetic recording techniques. An optical servo mechanism is provided to guide the magnetic read/write head accurately over the data between the optical servo tracks. By utilizing optical servo techniques, much higher track densities are achievable on the relatively inexpensive removable magnetic medium.

As mentioned, the optical servo pattern typically consists of a large number of equally spaced concentric tracks about the rotational axis of the disk. As disclosed in U.S. Patent 04/961,123, each track may be a single continuous groove (Fig. 3) a plurality of equally spaced circular pits (Fig. 8) or a plurality of short equally spaced grooves or stitches (Fig. 9). Various methods and systems exist for inscribing the optical servo tracks on the magnetic medium.

For example, U.S. Patent No. 05/067,039, entitled "High Track Density Magnetic Media With Pitted Optical Servo Tracks and Method for Stamping the Tracks on the Media", discloses a method for "stamping" the servo tracks on the magnetic medium. Essentially a master stamping disk is produced bearing a template of the optical servo

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pattern. This master disk is then pressed against the magnetic floppy disk under a pressure of several tons per square inch. The significant amount of pressure transfers the servo track pattern from the master disk to the floppy.

5 U.S. Patent No. 04/633,451, entitled "Optical Servo for Magnetic Disks", discloses a method of providing optical servo information on a magnetic medium consisting of a multi-layer film. The optical servo tracks are formed on the multi-layer film by laser heating the structure to cause  
10 a reaction or interdiffusion to occur between layers. The reaction produces a reflectivity contrast of about eight percent (8%) between exposed and unexposed areas. Other methods for preparing the servo tracks are mentioned including contact printing, embossing, and lithography.

15 U.S. Patent No. 04/961,123 entitled "Magnetic Information Media Storage with Optical Servo Tracks," discloses a preferable method and apparatus for etching the pattern on a disk using a focused beam of light. The magnetic disk is placed on a platen/spindle assembly and  
20 rotated. A beam of light is focused to a small spot on the spinning disk. The focused beam has sufficient intensity to ablate the disk surface at the point of incidence, thereby reducing the reflectivity of the surface at that point. The beam can be left on during an entire revolution to produce a  
25 continuous groove or can be modulated on and off through one revolution to produce a stitched pattern. This method has several advantages. First, the intensity of the focused beam of light can be adjusted for different types of magnetic media. Secondly, different stitched patterns can be etched  
30 simply by varying the on-off time of the beam or by varying the speed of rotation of the disk. Additionally, there is no need to produce a master disk, as with the stamping method.

As mentioned above, the optical servo pattern often comprises a number of equally spaced concentric optical  
35 servo tracks about the rotational axis of the disk. A single disk may have as many as 900 concentric servo tracks. Additionally, each optical servo track may be a continuous

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groove, or alternatively, may comprise a plurality of equally spaced stitches. When a stitched pattern is employed, the number of stitches in each optical servo track may exceed 1600 with each track having the same number of stitches. It is crucial for proper servo positioning that every stitch be sufficiently detectable by the servo optics. As mentioned, a preferred method of producing a stitched pattern is by focusing a beam of light on a rotating disk and modulating the beam on and off. The beam, when incident upon the surface of the disk and properly focused, has sufficient intensity to etch the surface thereby creating a stitch having reduced reflectivity.

The prior art approach to creating these tracks is to position the laser optics directly over the cylinder to be etched. Then the beam is modulated using a clock source controlled by the rotation of the disk. This technique has been successfully used but it has a low throughput and requires high maintenance for the moving optics and mechanical elements. This is because the optical system must be accelerated and decelerated into position almost 1,000 times for each disk. These prior art recording techniques for optical servo tracks do not allow flexible recording patterns.

#### Summary of the Invention

In accordance with the present invention, a beam of light used to etch servo tracks on a magnetic disk is steered with an acousto-optical device to maintain the beam in concentric patterns while mechanically moving the optics which generate the beam of light continuously radially of the disk. Concentric tracks are etched by steering the laser beam in a manner which compensates for the continuous mechanical movement of the beam by the optics.

Sets of digital signals are stored in a random access memory for controlling the steering. Sets of these digital signals are selected under microprocessor control and in response to the radial position of the beam. These sets

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of signals are applied to the acousto-optical device to maintain the beam in concentric patterns. Moving the optical system at a constant speed while steering the zero mass laser beam with a suitable acousto-optical device  
5 eliminates many of the problems of the prior art. The laser beam is steered into cylinder format from the natural spiral format which would have resulted without steering. The steering is directed with a closed loop system that evaluates the position of the optics relative to the disk, extracts the  
10 steering data from a memory based table and sends the data to the acousto-optical controller for the laser beam. In accordance with the invention, the steering voltage needed to keep the beam centered over a cylinder of constant radius is memory-mapped. Then, the memory-mapped signals are used to  
15 steer the laser beam thereby eliminating the time to seek and settle the optical components otherwise required to focus the beam onto the media.

In accordance with another feature of the present invention digital intensity signals are stored in  
20 another random-access memory and are retrieved from the memory under microprocessor control and in response to the radial position of the beam. The intensity signals are applied to the acousto-optical device to vary the intensity of the incident beam to maintain a constant energy density  
25 delivered by the beam at all radii of the disk.

A laser Doppler meter is used to encode the radial position of the optics relative to the disk. The encoded position is used as the address for the random access memories containing the intensity and steering signals. The  
30 memory-mapped approach of the present invention gives flexibility to the type and rate of servo patterns that can be recorded.

#### Short Description of the Drawings

The foregoing summary, as well as the  
35 following detailed description of the preferred embodiment, is better understood when read in conjunction with the



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appended drawings. For the purpose of illustrating the invention, there is shown in the drawings, an embodiment that is preferred, it being understood, however, that the invention is not limited to the specific methods and instrumentalities disclosed. In the drawings:

Figure 1 is a top view of a magnetic disk having concentric magnetic data tracks and concentric optical servo tracks each comprised of a plurality of equally spaced etched stitches;

Figure 2 is a cross-sectional view of the magnetic disk of Figure 1 taken along line 2--2 of Figure 1;

Figure 3 is an enlarged top view of a portion of the magnetic disk of Figure 1 showing the etched stitches in greater detail;

Figure 4 is a block diagram of an apparatus for etching optical servo information on a magnetic medium and for steering the incident beam in accordance with the present invention;

Figure 5 is a graphical illustration of steering an incident beam in accordance with the present invention;

Figure 6 is a block diagram showing further details of the acousto-optical device of Figure 4 in accordance with the present invention.

#### Detailed Description of the Preferred Embodiment

Referring to the drawings in detail, wherein like numerals indicate like elements throughout, there is shown in Figure 1 a top view of a magnetic disk 10 having concentric optical servo tracks 12 about the rotational axis 16 of the disk 10. Each servo track 12 is comprised of a plurality of equally spaced etched stitches 18. Concentric magnetic data tracks 20 (i.e., non-etched regions) lie between each adjacent pair of servo tracks 12 for magnetically recording data on the disk 10. In the preferred embodiment, the disk 10 has 900 concentric servo tracks 12 and each servo track 12 comprises 1666 equally spaced etched stitches 18.

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Referring now to Figure 2 there is shown a cross-sectional view of the magnetic disk 10 of Figure 1 taken along line 2--2 of Figure 1. The disk 10 comprises a substrate 22 having a thickness  $T$ , and a magnetic coating 24 on the surface of the substrate 22. The magnetic coating 24 has a thickness  $t$ . As described more fully below, the stitches or grooves 18 are formed by etching the magnetic coating 24 with a beam of light. Once etched, the stitches 18 are indelible. Each groove or stitch 18 in each servo track 12 has a width  $w$  and a depth  $d$ . Although the stitches 18 are illustrated in Figure 2 as having a well-defined, almost uniform depth  $d$ , they need not be so uniform. For example, each stitch may simply be a rough "scarred" region on the surface of the magnetic coating. The non-etched regions that define the magnetic data tracks 20 have a width  $m$  that represents the spacing between adjacent optical servo tracks 12.

The stitches or grooves 18 define a plurality of concentric optical servo tracks 12 each having a radius  $r$  as measured from the rotational axis 16 of the disk 10 to the center of the etched stitches 18. As shown in Figure 2, the outer-most radius is designated  $r_o$  and the inner-most radius is designated  $r_i$ . Similarly, the non-etched regions between adjacent servo tracks 12, which form the concentric magnetic data tracks 20, have a radius  $x$  as measured from the axis 16 of the disk 10 to the center of the data track 20.

Referring now to Figure 3, there is shown an enlarged top view of a portion of the magnetic disk 10 of Figure 1. As shown in Figure 3, the stitches 18 in each servo track 12 have a length  $l_n$  and consecutive stitches in a given track 12 have a spacing  $s_n$  between them. In the preferred embodiment, for each track, the length  $l_n$  of each stitch and the spacing  $s_n$  between consecutive stitches is equal; however, the length  $l_n$  may be greater or smaller than the spacing  $s_n$  between consecutive stitches.

As mentioned above, each optical servo track 12 comprises an equal number of stitches 18, which in the

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preferred embodiment is 1666. Because the radius  $r$  of the servo tracks 12 decreases from the outer- to inner-most track, in order to maintain the same number of stitches 18 per track, the stitch spacing  $s_n$  and stitch length  $l_n$  must decrease from the outer- to the inner-most track.

In the preferred embodiment, each stitch 18 has a width  $w$  of approximately 4.8 microns, and the optical servo tracks 12 are laid down every 20.4 microns on the disk.

Referring to Fig. 4, there is shown a preferred embodiment of an apparatus 39 for etching the surface of a magnetic medium to reduce the reflectivity thereof and to produce concentric servo tracks. More particularly, the apparatus 39 is for etching a plurality of concentric optical servo tracks about the rotational axis of a magnetic storage disc, such as is shown in Fig. 1, wherein each track comprises a plurality of equally spaced etched stitches.

As shown in Fig. 4, the apparatus 39 comprises a light source 40 for providing an incident beam of light 42. The light source 40 has means (not shown) for linearly polarizing the incident beam of light in a first polarizing direction. The direction of linear polarization, i.e., the first polarizing direction, is not critical. In the preferred embodiment, the light source 40 is a laser tuned to a wavelength suitable for etching the surface of the magnetic medium. Thus, the incident beam is highly collimated and monochromatic. Different wavelengths may be used with magnetic media having different characteristics. Two brewster windows (not shown) in the laser tube comprise the means for linearly polarizing the incident beam.

The apparatus 39 also comprises an acousto-optical device 44 for adjusting the intensity of the incident beam and for steering the beam for reasons which will become evident hereinafter. Acousto-optical devices are described generally in Wilson & Hawkes, OPTOELECTRONICS: AN INTRODUCTION, pp. 111 to 116 (Prentice/Hall 1983). The Acousto-optical (AO) device 44 is described hereinafter in greater detail. Briefly, the device 44 accepts digital

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frequency data at a first input 43 and analog voltage data at a second input 45. The frequency data and voltage data control the frequency and amplitude, respectively, of an ultrasonic wave applied to a birefringent crystal in the device 44 which changes the index of refraction of the crystal in one direction. Changes in the frequency of the ultrasonic wave produce deflections of the incident beam as it travels through the crystal. Changes in the amplitude of the ultrasonic wave create corresponding changes in the intensity of the beam.

Mirror 50 directs the linearly polarized incident beam through a beam separator 52. Beam separator 52 has means for transmitting light linearly polarized in the first polarizing direction (as is the incident beam) and for deflecting light linearly polarized in a direction orthogonal to the first polarizing direction. In the preferred embodiment, the means for transmitting light linearly polarized in the first polarizing direction and for deflecting light polarized orthogonal thereto is a multilayer dielectric thin film laser line coating 53 positioned along the hypotenuse of the beam separator 52.

The beam separator 52 transmits the linearly polarized incident beam and mirrors 51, 55 direct the transmitted incident beam to an objective lens 54. The lens 54 focuses the incident beam to a spot 57 on a magnetic storage disk 61 to be etched. In the preferred embodiment, the focused spot 57 is substantially circular, however it need not be substantially circular; for example, the focused spot could be oblong in shape.

A platen/spindle assembly 59 rotates the disk 61 about its rotational axis. The lens 54 is positioned such that the rotating disc lies substantially in the focal plane of the lens 54. The focused incident beam reacts with the rotating magnetic medium 61 at the spot 57 to create a stitch (not shown) on the medium 61 having reduced reflectivity. A portion of the focused incident beam is reflected. Since the incident beam is focused to a fine spot on the magnetic

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medium, the reflected light effectively emanates from a point source. As described above, the rotating disk 61 lies in the focal plane of the lens 54, and therefore, the point source of reflected light lies at the focal point of the lens 54.

5 Consequently, the lens 54 operates to collimate the reflected light and direct a reflected beam back toward the beam separator 52 via the mirrors 51,55. The reflected beam is used for verification as is more fully described in co-pending U.S. patent application, serial no. 07/896,197,  
10 entitled "Apparatus for and Method of Verifying Etching of Optical Servo Information on Magnetic Media."

A voice coil actuator 72 moves the optics 71 which generate the beam of light so that the beam moves radially of the disk for etching each of the concentric servo tracks. In  
15 the preferred embodiment, the beam of light is moved continuously radially of the disk 61. The actuator 72 is moved by a closed loop positioning system which comprises up/down count generator 75, counter 76, digital-to-analog converter 77 and laser Doppler meter 79. To initiate  
20 movement, microprocessor 81 loads a value into the up/down count generator 75. The up/down count generator 75 produces a pulse train having a given number of pulses at a given velocity for indicating desired position. These pulses increment or decrement the counter 76 depending upon the  
25 desired direction of movement. The output of the counter 76 drives the digital-to-analog converter 77 which generates an error voltage. An amplifier 78 provides compensation and current amplification. Amplifier 78 causes current to flow in the voice coil actuator 72, causing the actuator 72 to  
30 move in the desired direction. This movement is detected by the laser Doppler meter 79, which feeds back the new position. This signal causes the counter 76 to increment or decrement back to the zero position.

As mentioned, in the preferred embodiment, the  
35 incident beam is moved continuously radially of the disk 61. Prior art methods of etching concentric servo tracks keep the incident beam still while etching a given track and only move

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to reposition the incident beam for etching the next track. By moving the incident beam continuously radially of the disk, the present invention increases throughput. Because the optics 71 which generate the incident beam are

5 continuously moving radially of the disk, the acousto-optical device 44 is used to steer the incident beam in order to maintain the beam in the concentric pattern of the track being etched.

Referring briefly to Figure 5, there is shown a  
10 graphical illustration of the method of beam steering in accordance with the present invention. As illustrated, adjacent optical servo tracks 12 have a track-to-track spacing,  $d_t$ . As mentioned previously, in the preferred embodiment,  $d_t$  is approximately 20.4 microns. Thus, the  
15 optics 71 move continuously radially (in the direction of the arrow) through a distance of 20.4 microns during the etching of each track. Consequently, the incident beam must be steered at an angle  $\alpha$  in order to maintain the beam in the concentric pattern of the track 12 being etched. As  
20 illustrated, the steering angle  $\alpha$  is a function of the radial position of the optics 71 relative to the disk 61.

Referring once again to Figure 4, the radial position of the optics 71 during the etching of a given servo track (i.e., an etch cycle) is encoded by the laser Doppler  
25 meter 79. A bi-directional laser beam 65 that strikes a retro-reflector 63 on the optics 71 provides positioning information to the laser Doppler meter 79. The resolution of the laser Doppler meter 79 is adjustable. In the preferred embodiment, the laser Doppler meter 79 is adjusted to discern  
30 movement of the optics 71 in increments of  $1/516$  of the track-to-track distance  $t_d$ . As the optics 71 move radially through each  $1/516$ th increment during the etching of a track, the laser Doppler meter 79 increments a track position counter 85. The track position counter 85, therefore,  
35 maintains a count which indicates the radial position of the optics 71 during an etch cycle. The counter 85 is reset at the beginning of each etch cycle.

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From the radial position information provided by the track position counter 85, the steering angle  $\alpha$  can be calculated. Circuitry could be provided to continuously recalculate the beam angle  $\alpha$  and provide a proper steering  
5 signal to the acousto-optical device 44. Such circuitry, however, would be complex and difficult to implement. In accordance with the present invention, a memory-mapped technique is used.

Acousto-optical device 44, which is used to steer  
10 the beam, is controlled by sets of digital steering signals retrieved from a first random-access-memory (RAM) 98. Each digital steering signal stored in the first RAM 98 represents the beam angle  $\alpha$  required for a particular radial position of the optics 71 during an etch cycle. In the preferred  
15 embodiment, there are 516 steering signals stored in the first RAM 98 - one for each of the 516 positions encoded by the laser Doppler meter 79 during an etch cycle. The output of the track position counter 85 provides the memory address of the appropriate stored signal for a given radial position.  
20 The acousto-optical device 44 responds to the retrieved steering signals to steer the beam to maintain the beam in the concentric pattern of the track being etched.

According to the present invention, the spindle 59 rotates the magnetic disk 61 at a constant speed (rpm). With  
25 a constant rotational speed, the energy density, ED, delivered by the incident beam to the spot 57 on the disk 61 will vary at different track radii. If not corrected, this will result in unacceptable stitch width variations. The energy density, ED, delivered by the beam is proportional to  
30 the intensity of the beam,  $I_0$ , within the focused spot 57 divided by the area of the spot 57,  $A_0$ , multiplied by the linear velocity  $V_l$  of the disk 61 at the focused spot 57. That is,

$$35 \quad ED \propto \frac{I_0}{A_0 V_l}$$

In accordance with the present invention, as the optics which generate and focus the incident beam are

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moved radially of the disk to etch different servo tracks, the intensity  $I_0$  of the incident beam is adjusted with an acousto-optical device to maintain a substantially constant energy density delivered by the incident beam at all radii.

5 Specifically, acousto-optical device 44 is used to adjust the intensity of the beam. This method of beam intensity adjustment is the subject of co-pending U.S. patent application, Serial No. 07/896,196, entitled "Acousto-Optical Intensity Control of Laser Beam During Etching of Optical  
10 Servo Information on Magnetic Media."

The AO device 44 is controlled by sets of digital intensity signals retrieved from a second random-access-memory (RAM) 90. The sets of digital intensity signals are selected under control of microprocessor 81 and in response  
15 to the radial position of the incident beam relative to the disk 61. The digital intensity signals are converted to an analog voltage signal by a digital-to-analog converter (DAC) 92. For purposes described more fully below, the analog voltage signal passes through an analog switch 94 which  
20 performs a gating function in response to the output of a third random-access-memory (RAM) 96. The analog voltage signal then passes from switch 94 to the AO device 44. The AO device 44 is responsive to the amplitude of the analog signal from DAC 92 to adjust the intensity of the incident  
25 beam transmitted through the device 44.

The digital intensity signals are stored in a table in the second RAM 90 as a function of the radial position of the beam. In addition, beam steering angle can affect the energy density delivered by the beam and therefore can also  
30 affect stitch width. Consequently, the intensity signals are also a function of beam steering angle. The intensity signals are retrieved and applied (in the form of an analog signal) to the device 44 using a memory-mapped approach similar to that described above for the steering signals.

35 As mentioned above, in the preferred embodiment, there are 900 concentric servo tracks each having a unique radius  $r_n$ . Thus, to compensate for the different radii,



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pot ntially 900 diff r nt intensity signals could be stored in the second RAM 90 - one for each track. As described previously, however, the beam is steered through 516 angles during the etching of a given track and each angle requires  
5 an intensity adjustment as well.

Because the beam is steered through the same set of angles for each track, the intensity adjustment factor based on angle will repeat for every track. However, the overall intensity value during the etching of each track must vary to  
10 compensate for the different radii. Thus, to compensate for both beam angle and track radius, 516 unique intensity signals might be stored for each of the 900 servo tracks - each signal representing an adjustment factor based on steering angle and an adjustment factor based on track  
15 radius. The cost of memory required for such a large number of signals may be prohibitive.

Consequently, in the preferred embodiment, the intensity adjustment factor based on track radius is held constant for groups of adjacent tracks. For each group, the  
20 intensity adjustment factor is averaged over the entire group and remains constant. Thus, the same 516 intensity signals are used for each track in the group and the change in radius within the group is ignored. This is acceptable because adjacent servo tracks are relatively close together (20.4  
25 microns) and therefore have relatively similar radii. Using the same 516 intensity signals for a group of adjacent tracks does not result in unacceptable stitch width so long as the size of the group is small enough that stitch width stays within accepted tolerances.

30 In the preferred embodiment, there are 16 tracks to a group. Each time the track position counter 85 is reset, a track is counted by the track group counter 84. The output of the track group counter 84 and track position counter 85 are combined to form the data address for the intensity  
35 signals stored in the second RAM 90. Thus, for each group of 16 tracks, the track group counter 84 and track position counter 85 combine to address a set of 516 intensity signals

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for the tracks in that group. As the beam is used to etch the disk 61 from the outside to the inside of the disk 61, the overall intensity for each group is reduced as the beam moves from the outside to the inside groups of tracks.

- 5 Although in the preferred embodiment the intensity of the beam is varied according to groups of servo tracks, if sufficient memory is available, the intensity may be varied on a track-to-track basis.

As mentioned, the retrieved intensity signals are  
10 fed to digital-to-analog converter 92 which produces an analog voltage signal having an amplitude which varies in proportion to the retrieved intensity signals. The analog signal is fed through switch 94 to the analog input 45 of the AO device 44. The AO device is responsive to the analog  
15 voltage signal to alter the intensity of the incident beam in proportion to the amplitude of the voltage signal. By altering the intensity of the incident beam to compensate for the change in radial position of the optics 71 relative to the disk 61 and to compensate for the change in beam angle,  
20 the present invention maintains a substantially constant energy density delivered by the beam to the disk during the entire etching of the disk.

In accordance with another feature of the present invention, the beam is modulated on and off during an etch  
25 cycle to produce the stitched pattern of the optical servo tracks. As mentioned, in the preferred embodiment, there are 1666 equally spaced stitches in every servo track. Thus, the incident beam must be modulated on and off as the disk 61 rotates past the beam in order to create the stitched etched  
30 pattern. To this end, the angular position of the spindle 59 is encoded with a motor shaft encoder 73. Motor shaft encoder 73 produces 1666 individual pulses during one revolution which indicate the angular position of the spindle 59. The output of the encoder 73 is applied to a phase lock  
35 loop 82 which multiplies the frequency digitally, but keeps the output in phase with the shaft encoder 73. In the example under consideration, the output of the phase lock

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loop 82 is at 64 times the frequency of the output of motor shaft encoder 73. This increases the angular resolution of the encoder to 1/64th of a stitch. The phase lock loop signal is applied to angle counter 83 which increments in response to the pulses output by the phase lock loop 82. Thus, the digital value in the angle counter 83 represents the angular position of the disk 61 during an etch cycle. The counter 83 is reset once a revolution.

The output of counter 83, which indicates the angular position of the disk 61, provides a memory address to a third random-access-memory (RAM) 96. The third RAM 96 contains sets of digital pattern signals for modulating the incident beam on and off. Specifically, the pattern signals are stored in a table in the third RAM 96 as a function of the angular position of the disk 61 during an etch cycle. The signals stored in the third RAM 96 indicate whether the incident beam should be on or off at a given angular position. The output of third RAM 96 controls the analog switch 94. Thus, at a given angular position, a pattern signal is addressed and retrieved from the third RAM 96. The retrieved signal represents either beam-ON or beam-OFF. If the retrieved signal represents beam-ON, the switch 94 is closed allowing the analog intensity signal from the DAC 92 to pass to the input 45 of the AO device 44. If however, the retrieved pattern signal represents beam-OFF, the switch 94 is opened and a one-volt signal is applied to the input 45 of the AO device 44. A one-volt signal applied to the input 45 of the device 44 effectively turns the beam off. In this manner, the on-off duty cycle of the beam is controlled to obtain the desired stitch pattern for each servo track.

As mentioned above, in the preferred embodiment, the length of each stitch  $l_n$  and the spacing  $s_n$  between consecutive stitches in each track is equal - a 50% duty cycle. As mentioned, however, the phase lock loop 82 and angle counter 83 provide an angular resolution of approximately 1/64 of a stitch. Thus, by altering the

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pattern of signals in third RAM 96, the stitch pattern is highly customizable.

The memory-mapped approach to controlling the steering, intensity and on-off pattern of the incident beam achieves great flexibility and allows the apparatus 39 to be easily adapted for different etched servo track patterns and disk sizes. Microprocessor 81 and other logic (not shown) provide overall control of memory addressing and timing functions.

Referring now to Figure 6, the acousto-optical device 44 of Figure 4 is shown in greater detail. The device 44 accepts the 16-bit digital signals retrieved from RAM 98 (Figure 4) at a first input 43. The device 44 accepts the analog voltage signal from switch 94 (Figure 4) at a second input 45. The 16-bit digital signals (i.e., words) are received by control electronics 100 and fed to a digital/direct frequency synthesizer 102. The frequency synthesizer 102 produces a fixed amplitude oscillating signal having a frequency which varies in response to, and proportional to, the digital values of the 16-bit signals from the RAM 98 (Figure 4). The oscillating signal is fed to modulator/mixer circuit 104.

The analog voltage signal is received by control electronics 106 which condition the signal and pass the signal to the modulator 104. The amplitude of the analog voltage varies from 0 to 1 volts. The modulator/mixer 104 amplitude modulates the oscillating signal from the synthesizer 102 with the analog voltage signal. The output of modulator/mixer 104 is fed to amplifier 108 which amplifies the signal and feeds it to a transducer 110 which is coupled to a bi-refrangent crystal 112. The transducer 110 produces an ultrasonic wave having the same frequency/amplitude characteristics as the electronic signal from the modulator/mixer 104. The ultrasonic wave is applied to the birefringent crystal 112. The incident beam is directed through the crystal 112. The ultrasonic wave changes the index of refraction of the crystal in one

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direction. The frequency of the ultrasonic wave controls deflection or steering of the incident beam as it travels through the crystal, while the amplitude of the ultrasonic wave controls the intensity of the beam that exits from the  
5 crystal.

Thus, as described above, the 16-bit digital signals from RAM 98 (Figure 4) control the steering angle of the incident beam as it passes through the device 44. The analog signal generated by the digital-to-analog converter 92  
10 in response to the digital signals retrieved from RAM 90 controls the intensity of the incident beam.

The device 44 was manufactured by Neos Corp., Melbourne, Fl. Typically, acousto-optical devices use a voltage controlled oscillator (VCO) to control the frequency  
15 of the signal fed to the transducer 110. In accordance with the present invention, however, the typically employed VCO was replaced with the digital/direct frequency synthesizer 102. The synthesizer 102 achieves a much higher frequency stability, and therefore beam steering stability, over time  
20 and temperature. Such enhanced frequency/steering stability is necessary to achieve the tight stitch width and placement tolerances required in accordance with the present invention.

While a particular embodiment of the invention has been shown and described, various modifications of the  
25 invention are within the true spirit and scope of the invention. The appended claims are, therefore, intended to cover all such modifications.

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WHAT IS CLAIMED IS:

1. A method of etching a plurality of concentric optical servo tracks about the rotational axis of a magnetic storage disk in relation to magnetic tracks on  
5 said disk comprising:

generating a beam of light for etching said optical servo tracks;  
mechanically moving the optics which generate said beam of light so that said beam moves  
10 continuously radially of said disk; and  
steering said beam of light with an acousto-optical device to maintain said beam in concentric patterns to etch said concentric optical servo tracks.

2. The method recited in claim 1 wherein the  
15 steps of steering comprise:

storing sets of digital steering signals for controlling said steering;  
selecting said sets under microprocessor control and in response to the radial position of said beam;  
20 and

applying said sets of signals to said acousto-optical device to maintain said beam in concentric patterns.

3. The method recited in claim 2 further  
25 comprising:

rotating said disk on a spindle as said servo tracks are etched;  
encoding the radial position of said optics to produce a signal representing the radial position  
30 of said optics relative to said spindle; and  
applying said signal representing radial position to select the stored digital steering signals.

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4. The method recited in claim 1 wherein the step of mechanically moving the optics comprises:

moving said optics with a voice coil actuator; and

5 feeding back positional information in closed loop control of said voice coil actuator.

5. The method recited in claim 1 further comprising:

storing sets of digital intensity signals  
10 for controlling the intensity of said beam of light; and  
applying said digital intensity signals to said acousto-optical device to control the intensity of said beam.

6. The method recited in claim 5 further  
15 comprising:

rotating said disk on a spindle as said servo tracks are etched;  
encoding the radial position of said optics to produce a signal representing the radial position  
20 of said optics relative to said spindle; and  
applying said signal representing radial position to select the stored digital intensity signals.

7. Apparatus for etching a plurality of concentric optical servo tracks about the rotational axis of  
25 a magnetic storage disk in relation to magnetic tracks on said disk comprising:

optics for generating a beam of light for etching said optical servo tracks;

means for mechanically moving said optics  
30 which generate said beam of light so that said beam moves continually radially of said disk; and

an acousto-optical device for steering said beam of light to maintain said beam in concentric patterns to etch said concentric optical servo tracks.

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8. The apparatus recited in claim 7 further comprising:

a memory for storing sets of digital steering signals for controlling said steering;

5 a microprocessor for selecting sets of said digital steering signals in response to the radial position of said beam relative to said disk; and

means for applying said sets of digital signals to said acousto-optical device to maintain said beam  
10 in concentrical patterns.

9. The apparatus recited in claim 8 further comprising:

a spindle for rotating said disk;

an encoder for encoding the radial  
15 position of said optics relative to said spindle; and

means for applying said signal representing radial position to select the stored digital steering signals.

10. The apparatus recited in claim 7 wherein  
20 said means for mechanically moving said optics comprises:

a voice coil actuator; and

a closed loop feedback control for feeding back positional information to said voice coil actuator.

25 11. The apparatus recited in claim 7 further comprising:

a memory for storing sets of digital intensity signals for controlling the intensity of said beam of light; and

30 means for applying said digital intensity signals to said acousto-optical device to control the intensity of said beam.



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12. The apparatus recited in claim 11 further comprising:

a spindle for rotating said disk;  
an encoder for encoding the radial  
5 position of said optics relative to said spindle; and  
means for applying said signal  
representing radial position to select the stored digital  
intensity signals.

## AMENDED CLAIMS

[received by the International Bureau on 12 October 1993 (12.10.93);  
original claims 1 and 7 amended; other claims unchanged (3 pages)]

1. A method of etching a plurality of  
concentric optical servo tracks about the rotational axis of a  
magnetic storage disk in relation to magnetic tracks on said  
5 disk comprising:  
generating a beam of light for etching  
each of said optical servo tracks;  
mechanically moving the optics which  
generate said beam of light so that said optics move  
10 continuously radially of said disk; and  
steering said beam of light in a radial  
direction of said disk with an acousto-optical device as the  
optics move continuously radially of the disk to maintain said  
beam in a concentric pattern on the disk while etching each  
15 of said concentric optical servo tracks.

2. The method recited in claim 1 wherein the  
steps of steering comprise:  
storing sets of digital steering signals  
20 for controlling said steering;  
selecting said sets under microprocessor  
control and in response to the radial position of said beam;  
and  
applying said sets of signals to said  
25 acousto-optical device to maintain said beam in concentric  
patterns.

3. The method recited in claim 2 further  
comprising:  
30 rotating said disk on a spindle as said  
servo tracks are etched;  
encoding the radial position of said  
optics to produce a signal representing the radial position of  
said optics relative to said spindle; and  
35 applying said signal representing radial  
position to select the stored digital steering signals.

4. The method recited in claim 1 wherein the step of mechanically moving the optics comprises:

moving said optics with a voice coil actuator; and

5 feeding back positional information in closed loop control of said voice coil actuator.

5. The method recited in claim 1 further comprising:

10 storing sets of digital intensity signals for controlling the intensity of said beam of light; and  
applying said digital intensity signals to said acousto-optical device to control the intensity of said beam.

6. The method recited in claim 5 further comprising:

15 rotating said disk on a spindle as said servo tracks are etched;  
encoding the radial position of said optics to produce a signal representing the radial position of  
20 said optics relative to said spindle; and  
applying said signal representing radial position to select the stored digital intensity signals.

7. Apparatus for etching a plurality of concentric optical servo tracks about the rotational axis of a  
25 magnetic storage disk in relation to magnetic tracks on said disk comprising:

optics for generating a beam of light for etching each of said optical servo tracks;

30 means or mechanically moving said optics which generate said beam of light so that said optics move continually radially of said disk; and

an acousto-optical device for steering said beam of light in a radial direction of said disk as the optics move continuously radially of the disk to maintain said

beam in a concentric pattern on the disk while etching each of said concentric optical servo tracks.

## STATEMENT UNDER ARTICLE 19

In response to the International Searching Authority's (ISA) Search Report, Applicant has amended claims 1 and 7 to conform the claims in this International Application to the claims presently pending in U.S. Patent Application Serial No. 07/896,188 filed June 10, 1992 from which priority has been claimed. The claims presently pending in the U.S. application were allowed by Examiner A. Kinhead and a Notice of Allowance issued on July 12, 1993.

The ISA's Search Report listed U.S. Patent Nos. 4,961,123 (Williams et al.), 4,130,898 (Urbach et al.) and 5,162,955 (Burdenko) as being "of particular relevance." Considering the foregoing amendments, neither Williams et al, Urbach et al, Burdenko, or any other prior art of record teach or suggest the claimed steps of "moving the optics which generate said beam of light ... *continuously* radially of said disk", and "steering said beam of light *in a radial direction* ... with an acousto-optical device as the optics move continuously radially of the disk *to maintain said beam in a concentric pattern* on the disk while etching each of said concentric optical servo tracks." Claim 1 (emphasis added). Williams et al. and Burdenko do not teach or suggest steering a beam in any way. Urbach et al. use an acousto-optical device during data write operations to deflect the writing beam so that it moves "in the same direction" as the rotating medium, thereby reducing the effects of "motion blur". Urbach et al. do not deflect the beam radially as claimed by Applicant. For the foregoing reasons, Applicant respectfully submits that the present invention, as recited in amended claims 1 and 7, is patentably distinguishable from Williams et al., Urbach et al., Burdenko and any other prior art of record, alone or in combination.

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FIG. 1

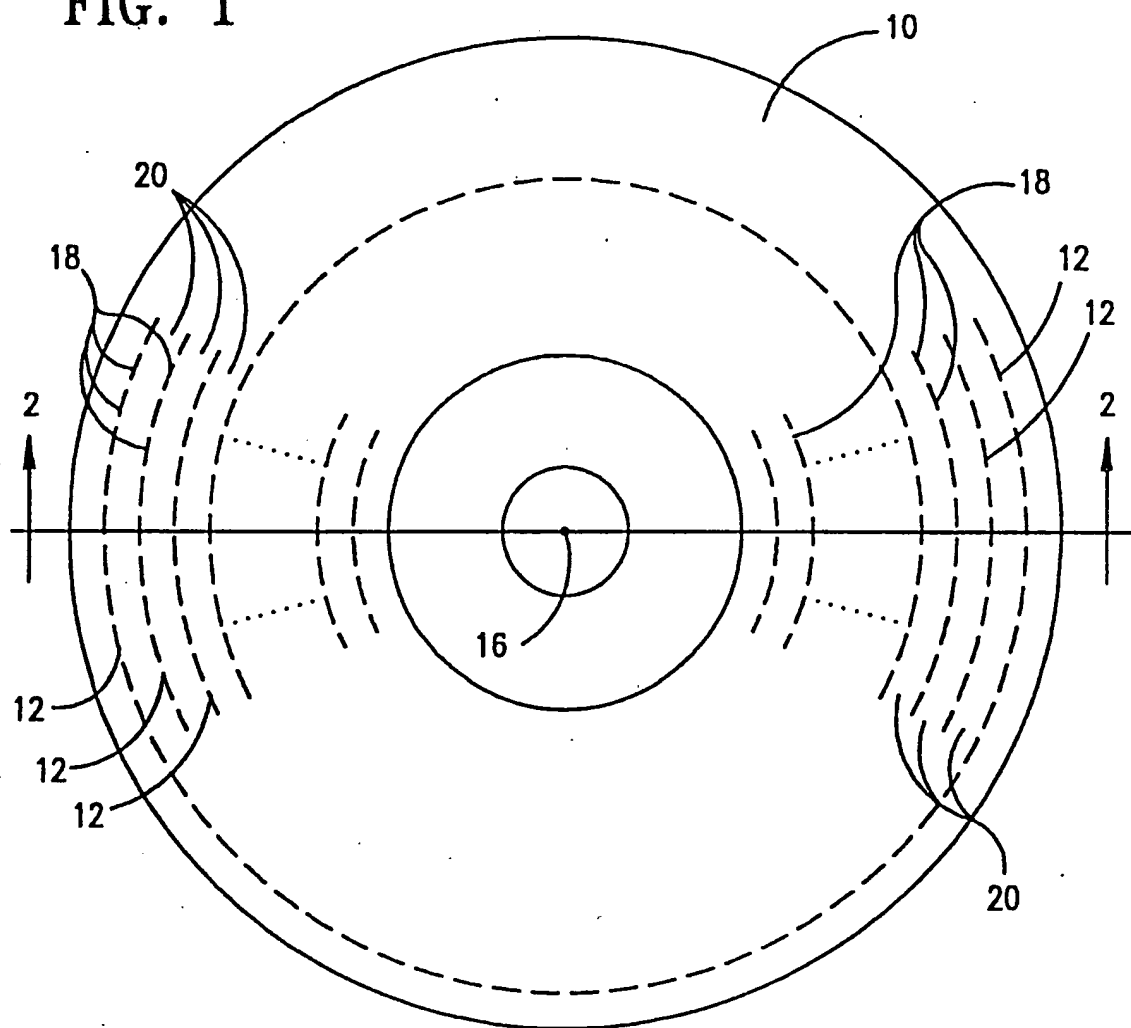


FIG. 2

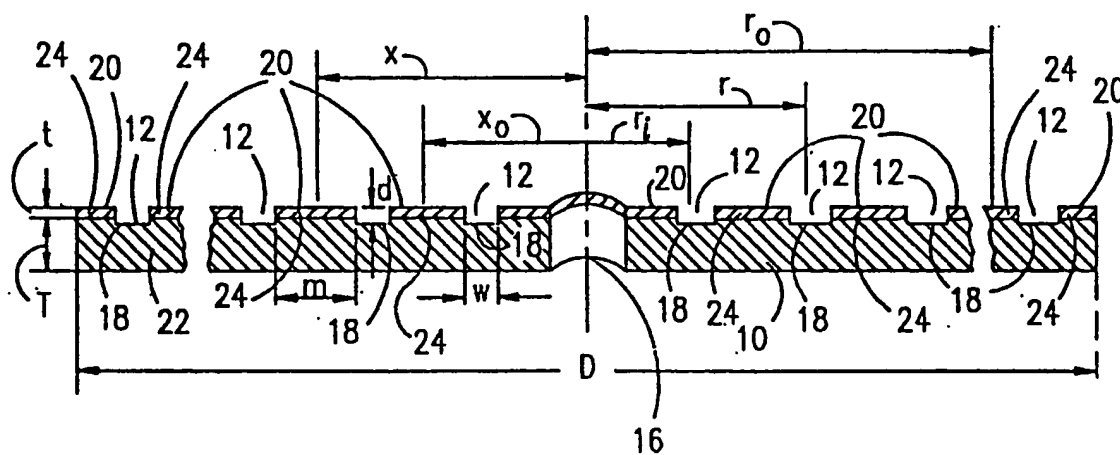


FIG. 3

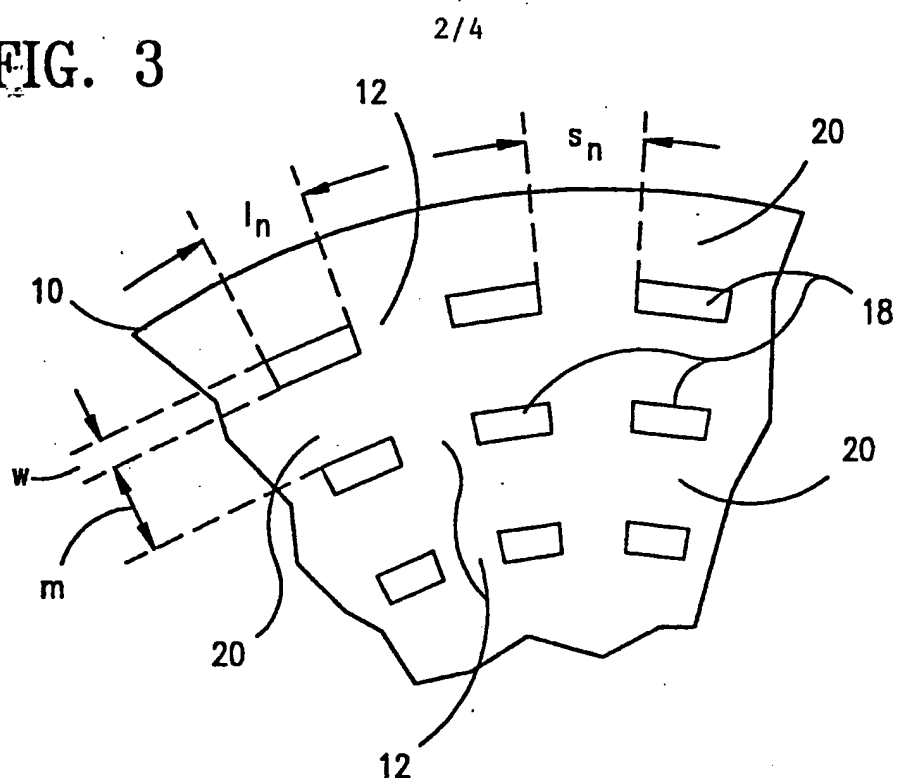


FIG. 5

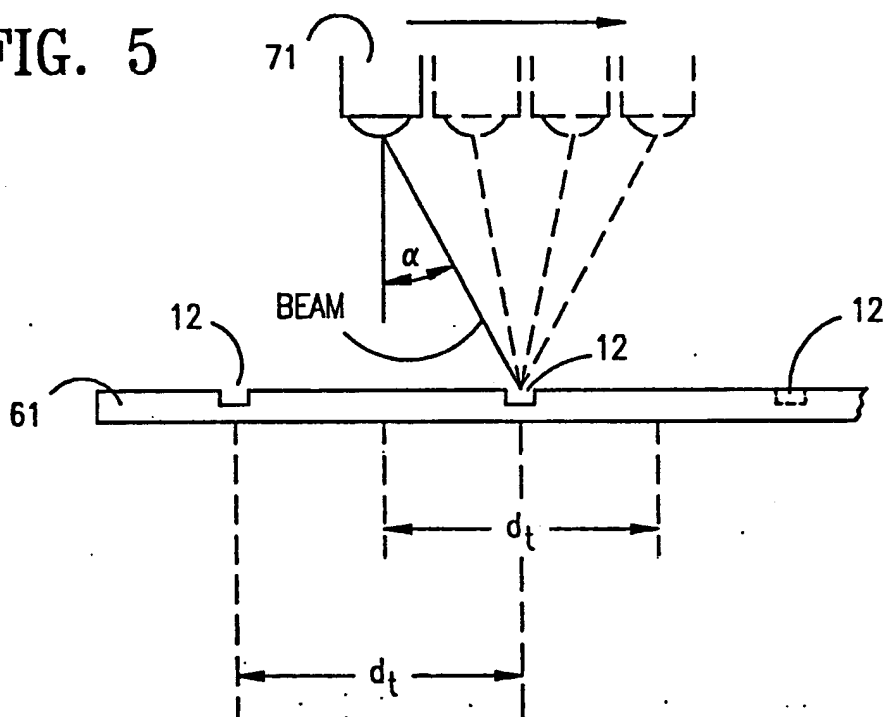


FIG. 4

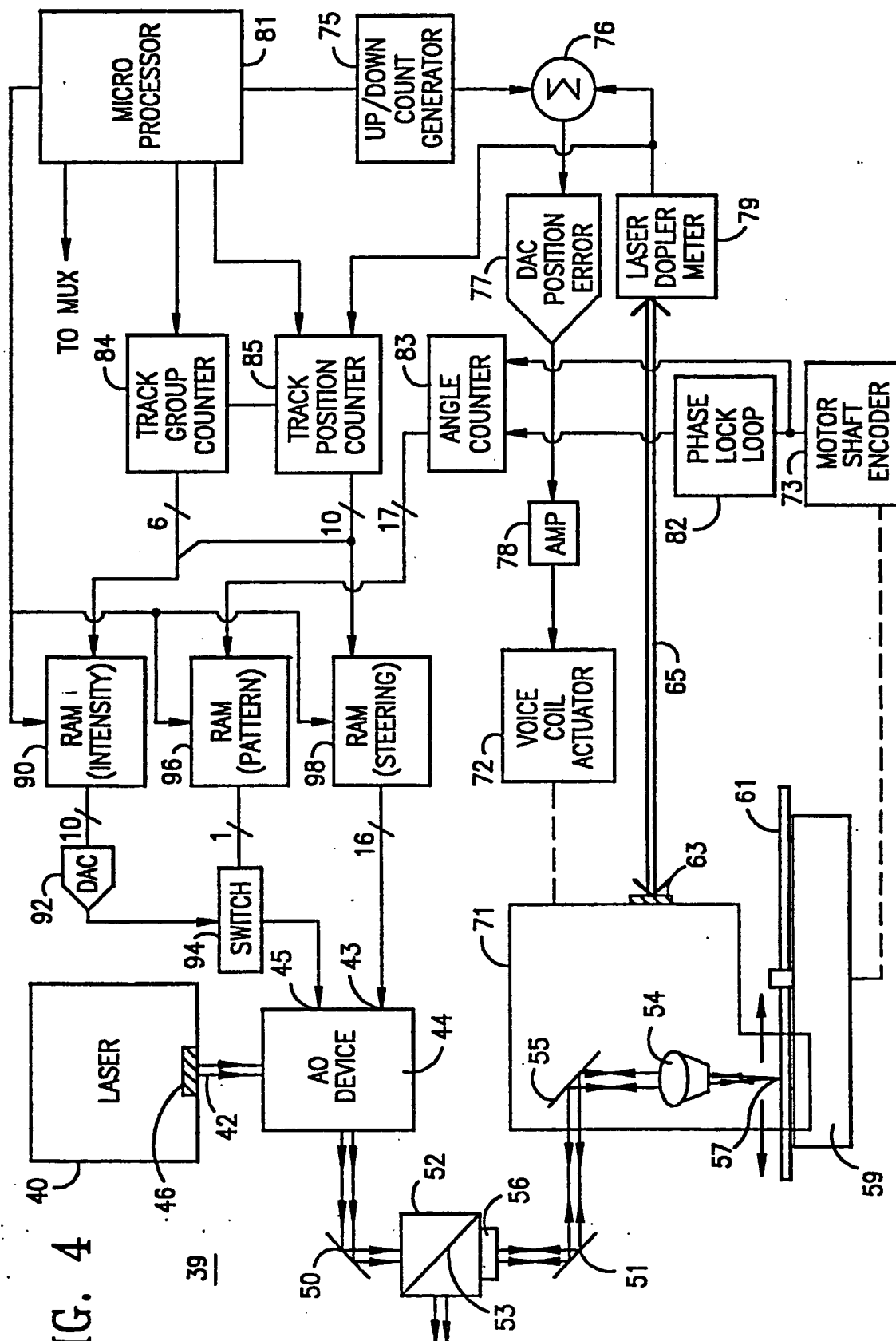
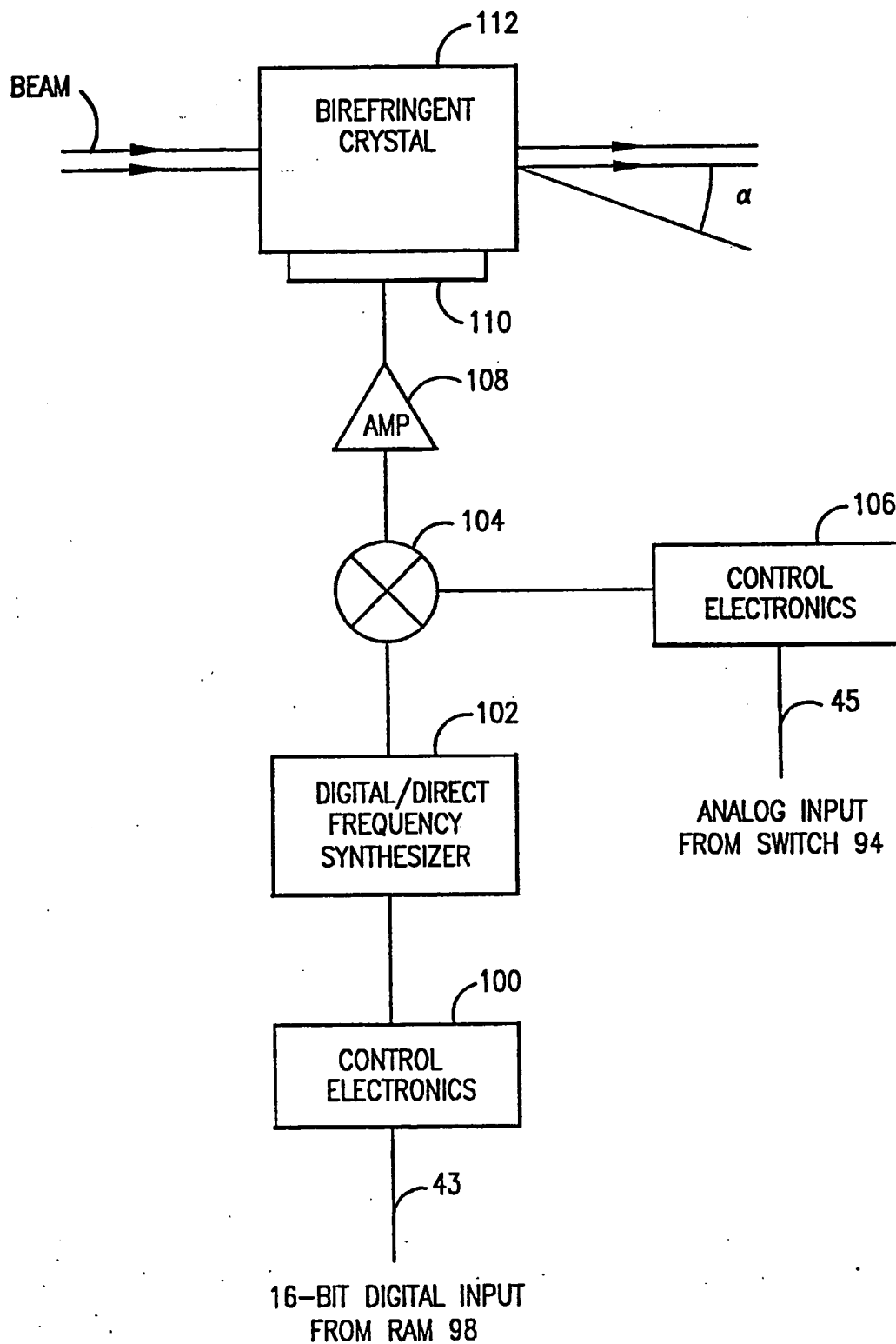




FIG. 6



## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US93/05646

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) :G11B 20/14, 21/02, 5/596, 5/74, 5/82

US CL :Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 365 120, 122, 128, 356, 445

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

AUTOMATED PATENT SEARCH OPTICAL (W) SERVO INSCRIBING

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category* | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|-----------|--|-----------------------|
| Y         | US, A, 4,961,123 (Williams et al) 02 Oct. 1990                                     | All                   |
| Y         | US, A, 4,130,898 (Urbach et al) 19 Dec. 1978                                       | All                   |
| Y, P      | US, A, 5,162,955 (BURDENKO) 10 Nov. 1992   | 4 & 10                |
| A         | US, A, 4,633,451 (Ahn et al) 30 Dec. 1986  |                       |
| A         | US, A, 4,935,835 (Godwin et al) 19 June 1990                                       |                       |
| A         | US, A, 4,831,470 (BRUNETT et al) 16 May. 1989                                      |                       |
| A         | US, A, 5,067,039 (Godwin et al) 19 Nov. 1991                                       |                       |

☒ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

|   |  |
|---|--|
| * Special categories of cited documents:  | "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention  |
| "A" document defining the general state of the art which is not considered to be part of particular relevance   | "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone   |
| "E" earlier document published on or after the international filing date  | "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art |
| "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) | "A" document member of the same patent family  |
| "O" document referring to an oral disclosure, use, exhibition or other means  |  |
| "P" document published prior to the international filing date but later than the priority date claimed  |  |

|   |   |
|---|---|
| Date of the actual completion of the international search<br>09 AUGUST 1993   | Date of mailing of the international search report<br>19 AUG 1993       |
| Name and mailing address of the ISA/US<br>Commissioner of Patents and Trademarks<br>Box PCT<br>Washington, D.C. 20231<br>Facsimile No. NOT APPLICABLE | Authorized officer<br>ARNOLD M. KINKEAD<br>Telephone No. (703) 305-3486 |

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US93/05646

**C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT**

| Category* | Citation of document, with indication, where appropriate, of the relevant passages  | Relevant to claim No. |
|-----------|---|-----------------------|
| A         | SPIE OPTICAL DATA STORAGE TOPICAL MEETING, 1989, Goodin, J., "An Introduction to the Insite Disc Drive" Vol. 1078, pp 71-79 |                       |
| A         | OPTOELECTRONICS: An Introduction, J. Wilson and J.F.B. Hawkes, Prentice Hall, 1983, pp.111 to 116.                          |                       |

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US93/05646

**A. CLASSIFICATION OF SUBJECT MATTER:**  
**US CL :**

360 75, 77.03, 77.05, 77.07, 77.11, 78.14, 131, 135; 369 14, 43, 44.26, 44.35, 44.39, 111, 121